

(T, E) -Korovkin Closures in Normed Spaces and BKW-Operators

SIN-EI TAKAHASI

*Department of Basic Technology, Applied Mathematics and Physics, Yamagata University,
Yonezawa 992, Japan*

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We give a characterization of BKW-operators on special normed spaces and determine completely a class of BKW-operators from a function space on $[0, 1]$ into $C(\Omega)$ for special test functions. © 1995 Academic Press, Inc.

INTRODUCTION

In [8], the author introduced a class of operators on normed spaces satisfying a Bohman–Korovkin–Wulbert-type theorem and investigated such operators on special function spaces. We call such operators BKW. In this paper we investigate a certain Korovkin closure in normed spaces and give a characterization of BKW-operators from a normed space into a unital commutative C^* -algebra by means of the uniqueness sets (Theorem 1.3). Also applying this characterization, we completely determine a class of BKW-operators from a function space on the closed unit interval $[0, 1]$ into the Banach space $C(\Omega)$ of all continuous complex-valued functions on a compact Hausdorff space Ω for the test functions $\{1, x\}$ (Theorem 2.1), where a function space on $[0, 1]$ means a subspace of $C([0, 1])$ which contains all constant functions and separates the points of $[0, 1]$. We also completely determine a class of norm one unital BKW-operators from a function space on $[0, 1]$ into $C(\Omega)$ for the test functions $\{1, x, x^2\}$ (Theorem 2.2).

1. (T, E) -KOROVKIN CLOSURES IN NORMED SPACES

Let X and Y be normed spaces and $B(X, Y)$ the normed space of all bounded linear operators from X into Y with the usual operator norm. Let

E be a bounded subset of Y^* , the dual space of Y . For $S \subset X$ and $T \in B(X, Y)$, let $K_T^0(S; E)$ (resp. $K_T^1(S; E)$, $K_T^2(S; E)$) be the closed linear subspace of all $x \in X$ such that if $\{T_\lambda\}$ is a net of $B(X, Y)$ such that $\sup_\lambda \|T_\lambda\| \leq \|T\|$ (resp. $\lim_\lambda \|T_\lambda\| = \|T\|$, $\|T_\lambda\| = \|T\|$ ($\forall \lambda$)) and $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$ for all $s \in S$, then $\lim_\lambda \|T_\lambda(x) - T(x)\|_E = 0$. Here $\|y\|_E = \sup_{g \in E} |g(y)|$ for each $y \in Y$. We first show that all these subspaces coincide.

LEMMA 1.1. *Under the above notations, we have $K_T^0(S; E) = K_T^1(S; E) = K_T^2(S; E)$.*

Proof. It is clear that $K_T^1(S; E) \subset K_T^2(S; E)$. To show $K_T^0(S; E) \subset K_T^1(S; E)$, let $x \in K_T^0(S; E)$ and let $\{T_\lambda\}$ be a net of $B(X, Y)$ such that $\lim_\lambda \|T_\lambda\| = \|T\|$ and $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$ for all $s \in S$. As we can assume $T \neq 0$, it follows that $T_\lambda \neq 0$ for sufficiently large λ . Put $S_\lambda = (\|T\|/\|T_\lambda\|)T_\lambda$. Then we can easily see that $\lim_\lambda \|T_\lambda(h) - S_\lambda(h)\|_E = 0$ for any $h \in X$. Hence $\lim_\lambda \|S_\lambda(s) - T(s)\|_E = 0$ for all $s \in S$, so $\lim_\lambda \|S_\lambda(x) - T(x)\|_E = 0$, since $\sup_\lambda \|S_\lambda\| = \|T\|$ and $x \in K_T^0(S; E)$. Therefore we obtain that $\lim_\lambda \|T_\lambda(x) - T(x)\|_E = 0$ and hence $x \in K_T^1(S; E)$.

We next show that $K_T^2(S; E) \subset K_T^0(S; E)$. Note that the lemma holds whenever $\overline{\text{sp}}(S) = X$ or $Y = \{0\}$ or $E = \{0\}$, so we can assume that $\overline{\text{sp}}(S) \neq X$, $Y \neq \{0\}$ and $E \neq \{0\}$, where $\overline{\text{sp}}(S)$ is the closed linear span of S in X . Then we can find a functional $f \in X^*$ and an element $y \in Y$ such that $\|f\| = 1$, $\|y\| = 1$, $f|_{\overline{\text{sp}}(S)} = 0$ and $\|y\|_E \neq 0$. Put $(y \otimes f)(a) = f(a)y$ for each $a \in X$. Then $y \otimes f$ is a norm one linear operator from X into Y . Now let $x \in K_T^2(S; E)$ and consider a net $\{T_\lambda\}$ of $B(X, Y)$ such that $\sup_\lambda \|T_\lambda\| \leq \|T\|$ and $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$ for all $s \in S$. We need show that $\lim_\lambda \|T_\lambda(x) - T(x)\|_E = 0$. To do this let $\{T_{\lambda'}\}$ be any subnet of $\{T_\lambda\}$. Then $\lim_{\lambda'} \|T_{\lambda'}(s) - T(s)\|_E = 0$ for all $s \in S$. Fix λ' and for any real number $t \in \mathbf{R}$, put $\varphi(t) = \|T_{\lambda'} + ty \otimes f\|$. Then φ is continuous on \mathbf{R} and

$$\varphi(0) = \|T_{\lambda'}\| \leq \|T\| \leq 2\|T\| - \|T_{\lambda'}\| \leq \pm 2\|T\| \|y \otimes f + T_{\lambda'}\| = \varphi(\pm 2\|T\|),$$

hence, by the intermediate value theorem, there exist $\alpha_{\lambda'} \in \mathbf{R}$ and $\beta_{\lambda'} \in \mathbf{R}$ such that

$$-2\|T\| \leq \beta_{\lambda'} \leq 0 \leq \alpha_{\lambda'} \leq 2\|T\| \quad \text{and} \quad \varphi(\beta_{\lambda'}) = \varphi(\alpha_{\lambda'}) = \|T\|.$$

Then there exist a convergent subnet $\{\alpha_{\lambda''}\}$ of $\{\alpha_{\lambda'}\}$ and a convergent subnet $\{\beta_{\lambda''}\}$ of $\{\beta_{\lambda'}\}$. For each λ'' , set

$$U_{\lambda''} = T_{\lambda''} + \alpha_{\lambda''}y \otimes f \quad \text{and} \quad V_{\lambda''} = T_{\lambda''} + \beta_{\lambda''}y \otimes f.$$

Then $\|U_{\lambda''}\| = \|V_{\lambda''}\| = \|T\|$ and $U_{\lambda''}(s) = V_{\lambda''}(s) = T_{\lambda''}(s)$ for all $s \in S$. Since $x \in K_T^2(S; E)$, it follows that $\lim_{\lambda''} \|U_{\lambda''}(x) - T(x)\|_E = 0$ and

$\lim_{\lambda^n} \|V_{\lambda^n}(x) - T(x)\|_E = 0$. Hence we have that $\lim_{\lambda^n} \|\alpha_{\lambda^n} f(x)y - \beta_{\lambda^n} f(x)y\|_E = 0$. Put $\alpha = \lim_{\lambda^n} \alpha_{\lambda^n}$ and $\beta = \lim_{\lambda^n} \beta_{\lambda^n}$. Then $\alpha \geq 0$, $\beta \leq 0$ and $\|(\alpha - \beta)f(x)y\|_E = 0$. If $f(x) = 0$, then the equality: $\lim_{\lambda^n} \|U_{\lambda^n}(x) - T(x)\|_E = 0$ means that $\lim_{\lambda^n} \|T_{\lambda^n}(x) - T(x)\|_E = 0$. If $f(x) \neq 0$, then $\alpha = \beta$, so $\alpha = \beta = 0$, hence the same equality: $\lim_{\lambda^n} \|U_{\lambda^n}(x) - T(x)\|_E = 0$ easily implies that $\lim_{\lambda^n} \|T_{\lambda^n}(x) - T(x)\|_E = 0$. We thus obtain that for any subnet $\{T_{\lambda'}\}$ of $\{T_{\lambda}\}$, there exists a subnet $\{T_{\lambda''}\}$ of $\{T_{\lambda'}\}$ such that $\lim_{\lambda''} \|T_{\lambda''}(x) - T(x)\|_E = 0$. In other words, $\lim_{\lambda} \|T_{\lambda}(x) - T(x)\|_E = 0$. Q.E.D.

DEFINITION (cf. Altomare–Boccaccio [2] and Romanelli [7]). Let $K_T(S; E) = K_T^i(S; E) (i = 0, 1, 2)$ and we call $K_T(S; E)$ a (T, E) -Korovkin closure of S .

Remark 1. In case of that $X = Y = C(\Omega)$ (Ω is a compact Hausdorff space), $T =$ the identity operator and $E = \{\delta_\omega; \omega \in \Omega\}$, F. Altomare and C. Boccaccio have already proved that $K_T^0(S; E) = K_T^1(S; E) = K_T^2(S; E)$ (see [2, Theorem 1.2 and Remark 1.3]).

For $S \subset X$, $T \in \mathcal{B}(X, Y)$ and $g \in E$, let $\hat{S}_{T, g}$ be the set of all $x \in X$ such that if $f \in X^*$, $\|f\| \leq \|T\|$ and $f(s) = g(T(s))$ for each $s \in S$, then $f(x) = g(T(x))$. Also set

$$\hat{S}_{T, E} = \bigcap_{g \in E} \hat{S}_{T, g}.$$

In this case, we have always that $\overline{\text{sp}}(S) \subset \hat{S}_{T, E}$.

Under these notations, we have the following result which contains [9, Lemma 2.1], applying the technique used by L. C. Kurtz [5], C. Micchelli [6], F. Altomare and C. Boccaccio [2], F. Altomare [1], etc.

THEOREM 1.1. *If E is a weak*-closed subset of the unit ball of Y^* , then $\hat{S}_{T, E} \subset K_T(S; E)$.*

Proof. Let x_0 be an arbitrary element of $\hat{S}_{T, E}$ and suppose that $\{T_\lambda; \lambda \in A\}$ is a net of $\mathcal{B}(X, Y)$ such that $\sup_\lambda \|T_\lambda\| \leq \|T\|$ and $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$ for each $s \in S$. Then we must show that $\lim_\lambda \|T_\lambda(x_0) - T(x_0)\|_E = 0$. Assume the contrary. Then there is an $\varepsilon_0 > 0$ such that for any $\lambda \in A$, there exists $\alpha_\lambda \in A$ satisfying $\lambda \leq \alpha_\lambda$ and $\|T_{\alpha_\lambda}(x_0) - T(x_0)\|_E \geq \varepsilon_0$. Then we can find a functional $g_\lambda \in E$ such that

$$|g_\lambda(T_{\alpha_\lambda}(x_0)) - g_\lambda(T(x_0))| \geq \frac{\varepsilon_0}{2}. \quad (*)$$

We can assume without loss of generality that the net $\{g_\lambda: \lambda \in A\}$ converges to a functional $g_0 \in E$ in the weak*-topology. For each $\lambda \in A$, set $f_\lambda(x) = g_\lambda(T_{x_\lambda}(x))$ ($x \in X$). Then each f_λ is a functional in X^* such that $\|f_\lambda\| \leq \|T\|$. Also we can assume that the net $\{f_\lambda: \lambda \in A\}$ converges to a functional $f \in X^*$ with $\|f\| \leq \|T\|$ in the weak*-topology. For any $s \in S$,

$$|f_\lambda(s) - g_0(T(s))| \leq |g_\lambda(T_{x_\lambda}(s)) - g_\lambda(T(s))| + |g_\lambda(T(s)) - g_0(T(s))|$$

$$\leq \|T_{x_\lambda}(s) - T(s)\|_E + |g_\lambda(T(s)) - g_0(T(s))|.$$

Hence, after taking the limit with respect to λ , we obtain that $f(s) = g_0(T(s))$ for all $s \in S$. Therefore $f(x_0) = g_0(T(x_0))$ because $x_0 \in \hat{S}_{T,E} \subset \hat{S}_{T,g_0}$. However this contradicts the inequality (*). Q.E.D.

Remark 2. Let \bar{E} be the weak*-closure of $E \subset Y^*$. Then $K_T(S; \bar{E}) = K_T(S; E)$. However it seems that $\hat{S}_{T,\bar{E}} \neq \hat{S}_{T,E}$ in general because by the Hahn-Banach extension theorem, we have $\hat{S}_{T,\{0\}} = \overline{\text{sp}}(S)$ (if $T \neq 0$).

Applying the technique used by H. Bauer [3], C. Micchelli [6], F. Altomare and C. Boccaccio [2], F. Altomare [1] etc., we have the following result which characterizes (T, E)-Korovkin closures by means of the uniqueness sets in some special cases.

THEOREM 1.2. *Let X be a normed space, S ⊂ X, A a function algebra of continuous functions on a locally compact Hausdorff space Ω which contains the space of all continuous functions on Ω having compact support, E ⊂ Ω (⊂ A*) and T ∈ B(X, A). Then $K_T(S; E) \subset \hat{S}_{T,E}$. In particular, if E is compact, then $\hat{S}_{T,E} = K_T(S; E)$.*

Proof. Let $x_0 \in K_T(S; E)$ and $\omega_0 \in E$. Suppose that f is a functional in X^* such that $\|f\| \leq \|T\|$ and $f(s) = (Ts)(\omega_0)$ for each $s \in S$. Let $\{U_\lambda: \lambda \in A\}$ be the set of all relatively compact open neighbourhoods of ω_0 in Ω . If $\lambda, \lambda' \in A$, we define $\lambda \leq \lambda'$ to mean that $U_{\lambda'} \subset U_\lambda$. Hence A is a direct set. For each $\lambda \in A$, choose an element $a_\lambda \in A$ such that $0 \leq a_\lambda(\omega) \leq 1$ for all $\omega \in \Omega$, $a_\lambda(\omega_0) = 1$ and $a_\lambda(\omega) = 0$ for all $\omega \in \Omega \setminus U_\lambda$, and set

$$T_\lambda(x) = f(x)a_\lambda + T(x)(1 - a_\lambda)$$

for each $x \in X$. Then each T_λ is a bounded linear operator from X into A such that $\|T_\lambda\| \leq \|T\|$. We claim that $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$ for all $s \in S$. Indeed, let $s \in S$. Then for any $\varepsilon > 0$, there exists $\lambda_\varepsilon \in A$ such that $|(Ts)(\omega_0) - (Ts)(\omega)| < \varepsilon$ for all $\omega \in U_{\lambda_\varepsilon}$. Hence, we have

$$|(T_\lambda s)(\omega) - (Ts)(\omega)| = a_\lambda(\omega) |f(s) - (Ts)(\omega)| < \varepsilon$$

for all $\omega \in \Omega$ and all $\lambda \geq \lambda_\epsilon$. By taking the supremum over all $\omega \in \Omega$, we obtain that $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$. Therefore $\lim_\lambda \|T_\lambda(x_0) - T(x_0)\|_E = 0$ because $x_0 \in K_T(S; E)$. In particular, we have that $\lim_\lambda (T_\lambda x_0)(\omega_0) = (Tx_0)(\omega_0)$, hence $f(x_0) = (Tx_0)(\omega_0)$ since $(T_\lambda x_0)(\omega_0) = f(x_0)$ for all $\lambda \in A$. In other words, $x_0 \in \hat{S}_{T, \omega_0}$. Since ω_0 is an arbitrary point in E , it follows that $x_0 \in \hat{S}_{T, E}$. Consequently, $K_T(S; E) \subset \hat{S}_{T, E}$. If E is compact, then by Theorem 1.1, we obtain that $\hat{S}_{T, E} = K_T(S; E)$. Q.E.D.

For $S \subset X$ and $F \subset X^*$, let $U_S(F)$ be the set of all $g \in F$ such that if $f \in F$ and $f(s) = g(s)$ for all $s \in S$, then $f = g$. For $\rho > 0$, let $X_\rho^* = \{f \in X^* : \|f\| \leq \rho\}$. It is clear that the following three conditions are equivalent:

- (1) $\hat{S}_{T, E} = X$.
- (2) $\hat{S}_{T, g} = X$ for all $g \in E$.
- (3) $T^*(E) \subset U_S(X_{\|T\|}^*)$ (under the condition: $E \subset Y^*$).

For $S \subset X$, let $\text{BKW}(X, Y; S, \|\cdot\|_E)$ be the set of all $T \in B(X, Y)$ such that if $\{T_\lambda\}$ is a net of $B(X, Y)$ satisfying $\lim_\lambda \|T_\lambda\| = \|T\|$ and $\lim_\lambda \|T_\lambda(s) - T(s)\|_E = 0$ ($\forall s \in S$), then $\lim_\lambda \|T_\lambda(x) - T(x)\|_E = 0$ ($\forall x \in X$). Therefore T belongs to $\text{BKW}(X, Y; S, \|\cdot\|_E)$ if and only if $K_T(S; E) = X$.

DEFINITION. We call an operator in $\text{BKW}(X, Y; S, \|\cdot\|_E)$ a **BKW-operator** from X into Y for the test set S and the semi-norm $\|\cdot\|_E$. We will omit the semi-norm $\|\cdot\|_E$ when $\|y\| = \|y\|_E$ for all $y \in Y$ (cf. [8].)

The following result follows immediately from Theorem 1.2 and the above argument.

THEOREM 1.3. *Let X be a normed space, $S \subset X$, A a function algebra of continuous functions on a locally compact Hausdorff space Ω which contains the space of all continuous functions on Ω having compact support, E a compact subset of Ω ($\subset A^*$), $T \in B(X, A)$ and T^* the adjoint of T . Then T is a **BKW-operator** for S and $\|\cdot\|_E$ if and only if $T^*(E) \subset U_S(X_{\|T\|}^*)$.*

2. BKW-OPERATORS FROM A FUNCTION SPACE ON $[0, 1]$ INTO $C(\Omega)$

Recall that an operator T from a function space into another function space is said to be unital if $T(\mathbf{1}) = \mathbf{1}$. By applying Theorem 1.3, we can completely determine all **BKW-operators** from a function space on $[0, 1]$ into $C(\Omega)$ for the test functions $\{\mathbf{1}, x\}$ ($x(t) = t$ for each $t \in [0, 1]$) as follows:

THEOREM 2.1. *Let Ω be a compact Hausdorff space and X a function space on $[0, 1]$ such that $\text{sp}\{\mathbf{1}, x\} \subsetneq X$, where $\text{sp}\{\mathbf{1}, x\}$ denotes the linear span of $\{\mathbf{1}, x\}$. Then every BKW-operator T from X into $C(\Omega)$ for the test functions $\{\mathbf{1}, x\}$ is of form*

$$T(f) = f(0)u + f(1)v$$

for every $f \in X$, where u and v are functions in $C(\Omega)$ satisfying the following two conditions:

- (i) $|u(\omega)| + |v(\omega)| = \|T\|$ for all $\omega \in \Omega$.
- (ii) If $u(\omega) \neq 0$ and $v(\omega) \neq 0$, then $|u(\omega) + v(\omega)| \neq \|T\|$.

In this case, the functions u and v are given by $u = T(\mathbf{1} - x)$ and $v = T(x)$.

In particular, every norm one unital BKW-operator T from X into $C(\Omega)$ for $\{\mathbf{1}, x\}$ is of form

$$T(f) = f(0)\chi + f(1)(1 - \chi)$$

for every $f \in X$, where χ is a characteristic function on some clopen subset of Ω .

Moreover, we can completely determine all norm one unital BKW-operators T from a function space on $[0, 1]$ into $C(\Omega)$ for the test functions $\{\mathbf{1}, x, x^2\}$ as follows:

THEOREM 2.2. *Let Ω be a compact Hausdorff space and X a function space on $[0, 1]$ such that $\{\mathbf{1}, x, x^2, x^3\} \subset X$. Then every norm one unital BKW-operator T from X into $C(\Omega)$ for the test functions $\{\mathbf{1}, x, x^2\}$ is of form*

$$(Tf)(\omega) = \begin{cases} f(\varphi(\omega)), & \text{if } \omega \in \Omega \setminus G \\ f(0)\{1 - \varphi(\omega)\} + f(1)\varphi(\omega), & \text{if } \omega \in G \end{cases}$$

for every $f \in X$, where φ is a continuous map from Ω into $[0, 1]$ and G is an open subset of Ω such that $0 < \varphi(\omega) < 1 (\forall \omega \in G)$ and $\varphi(\omega) = 0$ or $1 (\forall \omega \in \partial G)$. Here ∂G denotes the topological boundary of G in Ω . In this case, the function φ is given by $\varphi = T(x)$.

Remark 3. Let Φ and Ω be compact Hausdorff spaces and let X be a function space on Φ . If any bounded linear operator from X into $C(\Omega)$ has a norm preserving linear extension to the whole space $C(\Phi)$, then the restriction map: $T \rightarrow T|_X$ maps $\text{BKW}(C(\Phi), C(\Omega); S)$ into $\text{BKW}(X, C(\Omega); S)$, where S denotes a set of test functions. Theorem 2.1 asserts that this restriction map is well-defined and onto in case of $\Phi = [0, 1]$ and $S = \{\mathbf{1}, x\}$. If we consider only the norm one unital

operators, then Theorem 2.2 asserts that the restriction map is well-defined and onto in case of $\Phi = [0, 1]$ and $S = \{1, x, x^2\}$.

In order to prove the above theorems, we have to prepare some lemmas.

LEMMA 2.1. *Let X be a normed space and S a subset of X such that $\overline{sp}(S) \subsetneq X$. Then all functionals in $U_S(X_1^*)$ are of norm one.*

Proof. Let $f \in U_S(X_1^*)$. Assume that $\|f\| < 1$. By the Hahn–Banach separation theorem, we can find a nonzero functional $g \in X^*$ such that $g(s) = 0$ for all $s \in S$. Set $h = f + \lambda g$, where $\lambda = (1 - \|f\|)/\|g\|$. Then $h(s) = f(s)$ for all $s \in S$ and $\|h\| \leq 1$, hence $h = f$, a contradiction. Q.E.D.

The following result is well-known (cf. [4, Note 12.29]).

LEMMA 2.2. *Let (Ω, μ) be a measure space and $L^1(\Omega, \mu)$ the space of all complex-valued integrable functions on Ω . If $f_1, \dots, f_n \in L^1(\Omega, \mu)$ are such that*

$$\left| \int_{\Omega} f_1(\omega) d\mu(\omega) \right| + \dots + \left| \int_{\Omega} f_n(\omega) d\mu(\omega) \right| = \int_{\Omega} |f_1(\omega)| d\mu(\omega) + \dots + \int_{\Omega} |f_n(\omega)| d\mu(\omega),$$

then $f_j(\omega) = e^{i\theta_j} |f_j(\omega)|, \dots, f_n(\omega) = e^{i\theta_n} |f_n(\omega)|$ μ -a.e. on Ω , where $\theta_j = \text{Arg}[\int_{\Omega} f_j(\omega) d\mu(\omega)]$ ($j = 1, \dots, n$).

The following result completely determines the uniqueness set $U_{\{1, x\}}(X_1^*)$.

LEMMA 2.3. *Let X be a function space on $[0, 1]$ such that $sp\{1, x\} \subsetneq X$. Then $U_{\{1, x\}}(X_1^*) = \{a \delta_0 | X + b \delta_1 | X : a, b \in \mathbb{C}, |a| + |b| = 1 \text{ and } |a + b| \neq 1 \text{ (if } a \neq 0, b \neq 0)\}$.*

Proof. Let $\mu \in U_{\{1, x\}}(X_1^*)$. Put $a = \mu(1 - x)$ and $b = \mu(x)$. Then $|a| \leq 1$ and $|b| \leq 1$. For any $\alpha, \beta \in \mathbb{C}$, we have

$$\begin{aligned} |\alpha a + \beta b| &= |\mu\{\alpha(1 - x) + \beta x\}| \\ &\leq \|\mu\| \|\alpha(1 - x) + \beta x\| \\ &\leq \max_{0 \leq t \leq 1} |\alpha(1 - t) + \beta t|. \end{aligned}$$

In particular, for $\alpha = \bar{a}/|a|$ and $\beta = \bar{b}/|b|$, we have $|a| + |b| \leq \max_{0 \leq t \leq 1} \{|\alpha|(1 - t) + |\beta|t\} = 1$. Now set $v = a \delta_0 | X + b \delta_1 | X$ and so

$\|v\| \leq |a| + |b| \leq 1$. Also $v(\mathbf{1}) = a + b = \mu(\mathbf{1})$ and $v(x) = b = \mu(x)$. Then $\mu = v$, i.e., $\mu = a \delta_0 | X + b \delta_1 | X$ because $\mu \in U_{\{1, x\}}(X_1^*)$. Moreover by Lemma 2.1, $\|\mu\| = 1$, so that $1 \leq |a| + |b|$ and hence we have $|a| + |b| = 1$. If also $a \neq 0$ and $b \neq 0$, then $|a + b| \neq 1$. In fact, if $|a + b| = 1$, then we can find $t > 0$ such that $b = ta$. Also choose a function $g \in X \setminus sp\{\mathbf{1}, x\}$ and put $f = g - g(0)\mathbf{1} + \{g(0) - g(1)\}x$. Then $f \in X$ and $f \neq 0$, hence there exists $0 < s < 1$ such that $f(s) \neq 0$. Note that $(s - t + st)/s < 1$, so take a positive number ρ such that $\max\{0, (s - t + st)/s\} < \rho < 1$. Set

$$\alpha = \rho a, \beta = \frac{(1 - \rho)a}{1 - s}, \gamma = \frac{(1 - s)b - s(1 - \rho)a}{1 - s}$$

and

$$\mu_1 = \alpha \delta_0 | X + \beta \delta_s | X + \gamma \delta_1 | X.$$

Then we can easily see that $\mu_1(\mathbf{1}) = \mu(\mathbf{1})$ and $\mu_1(x) = \mu(x)$. Also since $(s - t + st)/s < \rho$,

$$\begin{aligned} |\alpha| + |\beta| + |\gamma| &= \rho |a| + \frac{(1 - \rho) |a|}{1 - s} + \frac{|(1 - s)t - s(1 - \rho)| |a|}{1 - s} \\ &= |a| \left\{ \rho + \frac{1 - \rho}{1 - s} + \frac{(1 - s)t - s(1 - \rho)}{1 - s} \right\} \\ &= |a| (1 + t) = |a| + |b| = 1, \end{aligned}$$

hence $\|\mu_1\| \leq 1$. Then $\mu_1 = \mu$ because $\mu \in U_{\{1, x\}}(X_1^*)$. However $\mu_1(f) = \beta f(s) \neq 0$ and $\mu(f) = af(0) + bf(1) = 0$, so $\mu_1 \neq \mu$. This is a contradiction.

Conversely, let $a, b \in \mathbb{C}$ be such that $|a| + |b| = 1$ and $|a + b| \neq 1$ if $a \neq 0$, $b \neq 0$. We must show that $a \delta_0 | X + b \delta_1 | X \in U_{\{1, x\}}(X_1^*)$. To do this, let $\mu \in X_1^*$ be such that $\mu(\mathbf{1}) = a + b$ and $\mu(x) = b$. By the Hahn-Banach extension theorem, we can find a Radon measure $\tilde{\mu}$ on $[0, 1]$ such that $\tilde{\mu} | X = \mu$ and $\|\tilde{\mu}\| = \|\mu\|$. Let $\tilde{\mu} = u |\tilde{\mu}|$ be the polar decomposition of $\tilde{\mu}$, i.e.,

$$\int_0^1 f(t) d\tilde{\mu}(t) = \int_0^1 f(t) u(t) d|\tilde{\mu}|(t)$$

for all $f \in L^1([0, 1], |\tilde{\mu}|)$, where $|\tilde{\mu}|$ is the total variation of $\tilde{\mu}$ and u is a measurable function on $[0, 1]$ with $|u(t)| = 1$ for all $t \in [0, 1]$ (see [4, Corollary 19.38]). Then we have the following inequality:

$$\begin{aligned}
1 &= |a| + |b| = |\mu(1-x)| + |\mu(x)| \\
&= \left| \int_0^1 (1-t) u(t) d|\tilde{\mu}|(t) \right| + \left| \int_0^1 t u(t) d|\tilde{\mu}|(t) \right| \\
&\leq \int_0^1 (1-t) d|\tilde{\mu}|(t) + \int_0^1 t d|\tilde{\mu}|(t) \\
&\leq \int_0^1 d|\tilde{\mu}| = \|\tilde{\mu}\| = \|\mu\| \leq 1.
\end{aligned}$$

If $a \neq 0$ and $b \neq 0$, then by Lemma 2.2, we have $(1-t)u(t) = e^{i\alpha}(1-t)(|\tilde{\mu}| - \text{a.e.})$ and $tu(t) = e^{i\beta}t(|\tilde{\mu}| - \text{a.e.})$, where $\alpha = \text{Arg}(a)$ and $\beta = \text{Arg}(b)$. Hence we have

$$1 = |(1-t)e^{i\alpha} + te^{i\beta}|(|\tilde{\mu}| - \text{a.e.}).$$

Since $|a+b| \neq 1$ and hence $\alpha \neq \beta \pmod{2\pi}$, it follows that $|\tilde{\mu}|(\{[0, 1] \setminus \{0, 1\}\}) = 0$, i.e., $\text{supp}(|\tilde{\mu}|) \subset \{0, 1\}$ by the above equation. If $a=0$, then the above inequality implies that $\int_0^1 (1-t) d|\tilde{\mu}|(t) = 0$ and hence $\text{supp}(|\tilde{\mu}|) = \{1\}$. If $b=0$, the same inequality implies that $\int_0^1 t d|\tilde{\mu}|(t) = 0$ and hence $\text{supp}(|\tilde{\mu}|) = \{0\}$. Then $|\tilde{\mu}|$ can be expressed as $|\tilde{\mu}| = c\delta_0 + d\delta_1$, for some complex numbers c and d . Therefore $\tilde{\mu} = cu(0)\delta_0 + du(1)\delta_1$, hence we can easily see that $\mu = a\delta_0 | X + b\delta_1 | X$. We thus obtain that $a\delta_0 | X + b\delta_1 | X \in U_{\{1, x\}}(X_1^*)$. Q.E.D.

The following result completely determines the "positive" functionals in the uniqueness set $U_{\{1, x, x^2\}}(X_1^*)$. The same result has been obtained by C. Micchelli for $X = C([0, 1])$ (see [6, Theorem 3.1]).

LEMMA 2.4. *Let X be a function space on $[0, 1]$ such that $\{1, x, x^2, x^3\} \subset X$ and $X_+^* = \{\mu \in X^*: \|\mu\| = \mu(\mathbf{1})\}$. Then $U_{\{1, x, x^2\}}(X_1^*) \cap X_+^* = \{\delta_\lambda | X: 0 \leq \lambda \leq 1\} \cup \{(1-a)\delta_0 | X + a\delta_1 | X: 0 < a < 1\}$.*

Proof. Let $0 \leq a \leq 1$. Then $\delta_a | X$ and $(1-a)\delta_0 | X + a\delta_1 | X$ are in X_+^* . To show that $\delta_a | X \in U_{\{1, x, x^2\}}(X_1^*)$, let $v \in X_1^*$ be such that $v(x^k) = \delta_a(x^k)$ ($k=0, 1, 2$). Then $1 = v(\mathbf{1}) \leq \|v\| \leq 1$. Choose a Radom measure \tilde{v} on $[0, 1]$ such that $\tilde{v} | X = v$ and $\|\tilde{v}\| = \|v\|$. Then $\|\tilde{v}\| = \tilde{v}(\mathbf{1}) = 1$, so \tilde{v} is positive and also we have

$$\tilde{v}((x-a)^2) = v(x^2) - 2av(x) + a^2v(\mathbf{1}) = a^2 - 2a^2 + a^2 = 0.$$

Hence, the support of \tilde{v} is the single point $\{a\}$. This immediately implies that $\tilde{v} = \delta_a$, so $v = \delta_a | X$ and hence $\delta_a | X \in U_{\{1, x, x^2\}}(X_1^*)$. Next, to show that $(1-a)\delta_0 | X + a\delta_1 | X \in U_{\{1, x, x^2\}}(X_1^*)$, let $v \in X_1^*$ be such that $v(x^k) = ((1-a)\delta_0 + a\delta_1)(x^k)$ ($k=0, 1, 2$). Then $1 = v(\mathbf{1}) \leq \|v\| \leq 1$.

Choose a Radom measure $\tilde{\nu}$ on $[0, 1]$ such that $\tilde{\nu} \mid X = \nu$ and $\|\tilde{\nu}\| = \|\nu\|$. Then $\tilde{\nu}$ is positive and $\tilde{\nu}(x - x^2) = \nu(x - x^2) = a - a = 0$. Hence, the support of $\tilde{\nu}$ is contained in $\{0, 1\}$. This immediately implies that $\nu = (1 - a) \delta_0 \mid X + a \delta_1 \mid X$ and hence $(1 - a) \delta_0 \mid X + a \delta_1 \mid X \in U_{\{1, x, x^2\}}(X_1^*)$.

Conversely, let $\mu \in U_{\{1, x, x^2\}}(X_1^*) \cap X_+^*$. By Lemma 2.1, $\|\mu\| = 1$, and so $\mu(\mathbf{1}) = 1$. Choose a positive Radon measure $\tilde{\mu}$ on $[0, 1]$ such that $\tilde{\mu} \mid X = \mu$ and $\|\tilde{\mu}\| = \|\mu\|$. Put $\alpha = \mu(x)$ and $\beta = \mu(x^2)$. Then we have that $0 \leq \alpha, \beta \leq 1$, $\beta \leq \alpha$ and $\alpha^2 \leq \beta$ by Schwarz's inequality. If $\alpha = \beta$, then $\tilde{\mu}(x - x^2) = 0$ and hence we have $\mu = (1 - \alpha) \delta_0 \mid X + \alpha \delta_1 \mid X$ by the above argument. If also $\alpha^2 = \beta$, then $\tilde{\mu}((x - \alpha)^2) = \beta - 2\alpha^2 + \alpha^2 = 0$ and so $\mu = \delta_\alpha \mid X$ by the above argument. We finally show that the case $0 < \alpha^2 < \beta < \alpha < 1$ does not occur. Assume the contrary. For each $0 < \lambda < 1$, set

$$\mu_\lambda = a(\lambda) \delta_0 \mid X + b(\lambda) \delta_\lambda \mid X + c(\lambda) \delta_1 \mid X,$$

where $a(\lambda) = \lambda^{-1} \{ \lambda - (1 + \lambda) \alpha + \beta \}$, $b(\lambda) = (\lambda(1 - \lambda))^{-1} (\alpha - \beta)$ and $c(\lambda) = (1 - \lambda)^{-1} (\beta - \lambda \alpha)$. Then we have that $\mu_\lambda(\mathbf{1}) = 1 = \mu(\mathbf{1})$, $\mu_\lambda(x) = \alpha = \mu(x)$ and $\mu_\lambda(x^2) = \beta = \mu(x^2)$. Note that $a(\alpha) > 0$, $b(\alpha) > 0$ and $c(\alpha) > 0$. Then $\|\mu_\alpha\| = 1$ and hence μ_α must equal μ . Now choose a number ε such that $0 < \varepsilon < \min\{1 - \alpha, (\beta - \alpha^2)/\alpha\}$. Then $a(\alpha + \varepsilon) > 0$, $b(\alpha + \varepsilon) > 0$ and $c(\alpha + \varepsilon) > 0$. Hence $\|\mu_{\alpha + \varepsilon}\| = 1$, so $\mu_{\alpha + \varepsilon}$ must equal μ . On the other hand, we can find a polynomial $p \in X$ of the third degree such that $p(0) = p(1) = p(\alpha) = 0$ and $p(\alpha + \varepsilon) \neq 0$. Then we have $\mu_{\alpha + \varepsilon}(p) = b(\alpha + \varepsilon) p(\alpha + \varepsilon) \neq 0$ and $\mu_\alpha(p) = b(\alpha) p(\alpha) = 0$. This is a contradiction. Q.E.D.

Remark 4. In Lemma 2.4 and Theorem 2.2, we can replace the condition: $\{1, x, x^2, x^3\} \subset X$ by the condition: X contains a Chebyshev system $\{1, x, x^2, g\}$ of order 3.

The following result is fundamental and its proof is straightforward, and so is left to the reader.

LEMMA 2.5. *Let Ω be a topological space, G an open subset of Ω . Let φ and ψ be continuous maps from Ω to another topological space such that $\varphi(\omega) = \psi(\omega)$ for each $\omega \in \partial G$ and let f be defined on Ω by*

$$f(\omega) = \begin{cases} \psi(\omega) & \text{if } \omega \in \Omega \setminus G \\ \varphi(\omega), & \text{if } \omega \in G. \end{cases}$$

Then f is continuous on Ω . Here ∂G denotes the topological boundary of G .

Proof of Theorem 2.1. Let T be a bounded linear operator from X into $C(\Omega)$. Without loss of generality, we can assume that T is of norm one. By Theorem 1.3, T is a BKW-operator from X into $C(\Omega)$ for the test functions $\{1, x\}$ if and only if $T^*(\delta_\omega) \in U_{\{1, x\}}(X_1^*)$ for all $\omega \in \Omega$, where δ_ω denotes

the evaluation at $\omega \in \Omega$. Also by Lemma 2.3, $T^*(\delta_\omega) \in U_{\{1, x\}}(X_1^*)$ for all $\omega \in \Omega$ if and only if for each $\omega \in \Omega$, there exists a pair of complex numbers $(u(\omega), v(\omega))$ such that $T^*(\delta_\omega) = u(\omega)\delta_0 | X + v(\omega)\delta_1 | X$, $|u(\omega)| + |v(\omega)| = 1$ and $|u(\omega) + v(\omega)| \neq 1$ when $u(\omega) \neq 0$ and $v(\omega) \neq 0$. Note that $T^*(\delta_\omega) = u(\omega)\delta_0 | X + v(\omega)\delta_1 | X$ means that $(Tf)(\omega) = f(0)u(\omega) + f(1)v(\omega)$ for all $f \in X$. We thus obtain that $T(f) = f(0)u + f(1)v$ for all $f \in X$. Moreover, this equation easily implies that $u = T(\mathbf{1} - x)$ and $v = T(x)$ and so u and v are in $C(\Omega)$.

In particular, if T is unital, we have

$$1 = (T\mathbf{1})(\omega) = u(\omega) + v(\omega)$$

for all $\omega \in \Omega$. Therefore $\Omega = \Omega_u \cup \Omega_v$ and $\Omega_u \cap \Omega_v = \emptyset$, where $\Omega_u = \{\omega \in \Phi: u(\omega) \neq 0\}$ and $\Omega_v = \{\omega \in \Phi: v(\omega) \neq 0\}$. Hence u and v equal the characteristic functions on Ω_u and Ω_v , respectively. Of course, $u + v = \mathbf{1}$, so that by putting $\chi = u$, we obtain that the desired equation:

$$T(f) = f(0)\chi + f(1)(\mathbf{1} - \chi)$$

for every $f \in X$.

Q.E.D.

Proof of Theorem 2.2. Let T be a norm one unital BKW-operator from X into $C(\Omega)$ for the test functions $\{1, x, x^2\}$. Let $\omega \in \Omega$. Then by Theorem 1.3, $T^*(\delta_\omega) \in U_{\{1, x, x^2\}}(X_1^*)$ and so $\|T^*(\delta_\omega)\| = 1$ by Lemma 2.1. Note also that $(T^*\delta_\omega)(1) = \mathbf{1}(\omega) = 1$. Therefore $T^*(\delta_\omega) \in U_{\{1, x, x^2\}}(X_1^*) \cap X_+^*$ for all $\omega \in \Omega$. Hence by Lemma 2.4, we have $\Omega = F_T \cup G_T$, where F_T is the set of all $\omega \in \Omega$ such that $T^*(\delta_\omega) \in \{\delta_\lambda | X: 0 \leq \lambda \leq 1\}$ and G_T is the set of all $\omega \in \Omega$ such that $T^*(\delta_\omega) \in \{(1-a)\delta_0 | X + a\delta_1 | X: 0 < a < 1\}$. Since the map: $\omega \rightarrow T^*(\delta_\omega)$ is weak*-continuous and the set $\{\delta_\lambda | X: 0 \leq \lambda \leq 1\}$ is weak*-closed, F_T must be closed. Of course, $F_T \cap G_T = \emptyset$, hence G_T is open. For each $\omega \in F_T$, we can find a unique point $t(\omega)$ in $[0, 1]$ such that $T^*(\delta_\omega) = \delta_{t(\omega)} | X$. Also for each $\omega \in G_T$, we can find a unique point $s(\omega)$ in the open unit interval $]0, 1[$ such that $T^*(\delta_\omega) = (1-s(\omega))\delta_0 | X + s(\omega)\delta_1 | X$. Note that $(Tx)(\omega) = t(\omega)$ for each $\omega \in F_T$ and $(Tx)(\omega) = s(\omega)$ for each $\omega \in G_T$. Then for each $f \in X$, we have

$$(Tf)(\omega) = \begin{cases} f((Tx)(\omega)), & \text{if } \omega \in F_T \\ f(0)\{1 - (Tx)(\omega)\} + f(1)(Tx)(\omega), & \text{if } \omega \in G_T. \end{cases}$$

Let $\omega \in \partial G_T$. Then there exists a net $\{\omega_\lambda\}$ in G_T which converges to ω . Set $t = (Tx)(\omega)$ and $t_\lambda = (Tx)(\omega_\lambda)$. Then $T^*(\delta_\omega) = \delta_t | X$, $T^*(\delta_{\omega_\lambda}) = (1-t_\lambda)\delta_0 | X + t_\lambda\delta_1 | X$ and the net $\{t_\lambda\}$ converges to t . Since $w^* - \lim_\lambda T^*(\delta_{\omega_\lambda}) = T^*(\delta_\omega)$, it follows that $\delta_t | X = (1-t)\delta_0 | X + t\delta_1 | X$, hence, $\delta_t(x^2) = (1-t)\delta_0(x^2) + t\delta_1(x^2)$, so $t = 0$ or 1 .

Conversely, let φ be a continuous map from Ω into $[0, 1]$ and G is an open subset of Ω such that $0 < \varphi(\omega) < 1 (\forall \omega \in G)$ and $\varphi(\omega) = 0$ or $1 (\forall \omega \in \partial G)$. For each $f \in X$, put

$$(T_\varphi f)(\omega) = \begin{cases} f(\varphi(\omega)), & \text{if } \omega \in \Omega \setminus G \\ f(0)\{1 - \varphi(\omega)\} + f(1)\varphi(\omega), & \text{if } \omega \in G. \end{cases}$$

Since $\varphi(\omega) = 0$ or $1 (\forall \omega \in \partial G)$, it follows that $f(0)\{1 - \varphi(\omega)\} + f(1)\varphi(\omega) = f(\varphi(\omega))$ for all $\omega \in \partial G$. Then for each $f \in X$, $T_\varphi(f)$ is a complex-valued continuous function on Ω by Lemma 2.5. Moreover we can easily see that T_φ is a norm one unital linear operator from X into $C(\Omega)$. Also by the definition of T_φ , we have that

$$T_\varphi^*(\delta_\omega) \in \{\delta_\lambda \mid X: 0 \leq \lambda \leq 1\} \cup \{(1-a)\delta_0 \mid X + a\delta_1 \mid X: 0 < a < 1\}$$

for all $\omega \in \Omega$. Then T_φ is BKW for the test functions $\{1, x, x^2\}$ from Theorem 1.3 and Lemma 2.4. Q.E.D.

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